



Section 8

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 08/852,158  
Filing Date 5/6/97  
Inventor Mathur et al.  
Applicant Microsoft Corporation  
Attorney's Docket No. MS1-151US  
Title: Controlling Memory Usage in Systems Having Limited Physical Memory

## TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231  
From: Daniel L. Hayes (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
W.201 North River Drive, Suite 430, Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Check in the Amount of \$1,728 (Filing Fee \$1,558, Surcharge \$130, and Assignment Recording Fee \$40)
5. Assignment with Recordation Form Cover Sheet
6. Return Post Card

Large Entity Status ☒ Small Entity Status ☐

If necessary, the PTO is hereby authorized to charge any fees required or credit any overpayment to Deposit Account 12-0769 pursuant to 37 CFR 1.25.

Date: 10/21/97

By: Daniel L. Hayes  
Daniel L. Hayes  
Reg. No. 34,618

## CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) \_\_\_\_\_

Date: Oct. 21, 1997

By: Helen M. Hare  
Helen M. Hare



Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/852,158 05/06/97 MATHUR S MS1-151US

0242/1003

DANIEL L HAYES  
LEE & HAYES  
SUITE 430  
W 201 NORTH RIVER DRIVE  
SPOKANE WA 99201

NOT ASSIGNED

DATE MAILED: 3102

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

10/03/97

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1360

☒ 1. The statutory basic filing fee is:

- ☐ missing
- ☐ insufficient

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 440 including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63 including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63 identifying the application by the above Application Number and Filing Date is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date is required.

☐ 6. A \$ 790 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules" 37 CFR 1.821-1.825.

☐ 9. OTHER

Direct the response and any questions about this notice to "Attention: Box Missing-Parts."

**A copy of this notice MUST be returned with the response.**

*Karin Smith*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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